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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

### Applicant Initiated Interview Request Form

Application No.: 09/728,936 First Named Applicant: Yan  
Examiner: V. Ball Art Unit: 2817 Status of Application: Pending

#### Tentative Participants:

(1) Katrina A. Lyon, Reg. No. 42,821 (2) Examiner V. Ball  
(3) \_\_\_\_\_ (4) \_\_\_\_\_

Proposed Date of Interview: April 22, 2004 Proposed Time: 2:00 PM (EDT) (AM/PM)

#### Type of Interview Requested:

(1) ☒ Telephonic (2) ☐ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☒ NO

If yes, provide brief description: \_\_\_\_\_

### Issues To Be Discussed

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>Rejection (103)</u>	<u>1-11 and 16</u>	<u>Yan/Kung</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) <u>Rejection (103)</u>	<u>12-15</u>	<u>Yan/Kung/Deering</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) <u>Rejection</u>	<u>17</u>	<u>Yan/Kung/Georgiades</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Continuation Sheet Attached

#### Brief Description of Arguments to be Presented:

(See Attached After-Final Response)

An interview was conducted on the above-identified application on \_\_\_\_\_.

#### NOTE:

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

(Applicant/Applicant's Representative Signature)

(Examiner/SPE Signature)

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**LYON & HARR, LLP**  
300 Esplanade Drive, Suite 800  
Oxnard, California 93036  
(805) 278-8855

**facsimile transmittal****RECEIVED**  
**CENTRAL FAX CENTER****MAY 24 2004**

**To:** USPTO  
Attn: Examiner V. Bali

**Fax:** (703) 748-6061 &  
(703) 872-9306

**OFFICIAL**

**From:** Katrina A. Lyon

**Date:** 5/24/2004

**Re:** 1) Copy of Interview Request Pages: 12 (including cover sheet)  
2) Copy of After-Final  
Response (for 09/728,936  
MCS-051-00)  
3) Copy of Auto-Reply  
Facsimile Transmission

**CC:**


☐ Urgent ☐ For Review ☐ Please Comment ☐ Please Reply ☐ Please Recycle

Per your request made during a telephone conversation of May 24, 2004, transmitted herewith please find copies of the subject After-Final Response and Interview Request. These papers were officially filed on April 19, 2004 via facsimile transmission, as evidenced by the copy of the Auto-Reply Facsimile Transmission form (also transmitted herewith) that acknowledged the receipt of the aforementioned facsimile transmission.

Best Regards,  
Katrina A. Lyon  
Reg. No. 42,821

**CERTIFICATE OF TRANSMISSION**

I hereby certify that this paper and every paper referred to therein as being enclosed is being transmitted via facsimile to the Commissioner for Patents, United States Patent & Trademark Office, as of today.

 Date: 5-24-04  
Richard T. Lyon

**LYON & HARR, LLP**

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Oxnard, California 93036

(805) 278-8855

**facsimile transmittal****To:** USPTO  
Attn: Examiner Vikkram Bali**Fax:** (703) 872-9306**From:** Katrina A. Lyon**Date:** 4/19/2004**Re:** 1) Interview Request  
2) After-Final Response**Pages:** 11 (including cover sheet)**CC:**☐ Urgent☐ For Review☐ Please Comment☐ Please Reply☐ Please Recycle

Transmitted herewith please find An Applicant Initiated Interview Request Form (1 page) and an After-Final Response (9 pages). The purpose of the requested telephonic interview is to discuss the after-final amendment.

Best Regards,  
Katrina A. Lyon  
Reg. No. 42,821

**CERTIFICATE OF TRANSMISSION**

I hereby certify that this paper and every paper referred to therein as being enclosed is being transmitted via facsimile to the Commissioner for Patents, United States Patent & Trademark Office, as of today.

  
Katrina A. Lyon

Date:

4/19/2004